



IFW

PATENT
Attorney Docket No. 27702/10049B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: O'Rourke <i>et al.</i>)	I hereby certify that this paper is being
)	deposited with the United States Postal
Serial No. 10/811,510)	Service as first class mail, postage prepaid,
)	in an envelope addressed to:
Filed: March 29, 2004)	Commissioner for Patents, P.O. Box 1450,
)	Alexandria, VA 22313-1450 on this date:
For: Low Polarity Dimerate And)	
Trimerate Esters As Plasticizers)	October 21, 2004
For Thermoplastic Compositions)	
)	
Group Art Unit: 1714)	<u>Andrew M. Lawrence</u>
)	Andrew M. Lawrence, Reg. No. 46,130
Examiner: Not yet assigned)	Attorney for Applicants
)	

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a completed Form PTO-1449 listing documents for the examiner's consideration. Entry and consideration of the listed documents are solicited.

Additionally, the examiner's attention is directed to U.S. Patent Application Serial Nos. 10/360,294 and 10/616,658, which are currently pending in group art units 1714 and 1621, respectively. A copy of a U.S. patent application publication (US 2004/0072934 A1) corresponding to U.S. Patent Application Serial No. 10/616,658 is listed on the enclosed Form PTO-1449. U.S. Patent Application Serial No. 10/360,294 has not yet published, but a copy of the corresponding international patent publication WO 2004/009692 is enclosed.

Copies of the cited U.S. patents and U.S. patent application publications are not enclosed pursuant to the Patent Office's waiver of the requirement to provide such

documents under 37 C.F.R. §1.98 for all U.S. national patent applications filed after June 30, 2003. Copies of the other cited documents are enclosed.

This information disclosure statement is being filed before the mailing of a first office action on the merits. In accordance with 37 C.F.R. §1.97(b), no certification or fee is required.

An early and favorable action on the merits is solicited.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

October 21, 2004



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Form PTO-1449 (Modified)

Atty. Docket No.

27702/10049B

Serial No.

10/811,510

Applicant(s)

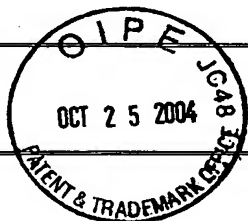
Stephen E. O'Rourke *et al.*

Filing Date

March 29, 2004

Art Unit

1714

INFORMATION DISCLOSURE STATEMENT**U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
	2,940,949	06-14-1960	Mullin <i>et al.</i>	260	31.4	
	3,654,007	04-04-1972	Winstanley <i>et al.</i>	156	132	
	3,825,515	07-23-1974	Lucas <i>et al.</i>	260	31.8M	
	3,951,887	04/20/1976	Tanimura <i>et al.</i>	260	3	
	3,968,198	07-06-1976	Honda <i>et al.</i>	264	343	
	3,993,847	11-23-1976	Kondo	428	451	
	4,038,220	07-26-1977	Thompson	260	3	
	4,054,561	10-18-1977	Strauss <i>et al.</i>	260	22 D	
	4,061,835	12-06-1977	Poppe <i>et al.</i>	428	522	
	4,078,114	03-07-1978	Aronoff <i>et al.</i>	428	379	
	4,260,541	04-07-1981	Kolinsky <i>et al.</i>	260	45.8	
	4,281,077	07-28-1981	Hirzy	525	129	
	4,376,711	03-15-1983	Shaub	252	32.7E	
	4,472,537	09-18-1984	Johnson <i>et al.</i>	523	160	
	4,550,147	10-29-1985	Oohara	525	332.6	
	4,588,761	05/13/1986	Thoma <i>et al.</i>	524	38	
	4,645,788	02-24-1987	Okumoto <i>et al.</i>	524	308	
	4,656,214	04-07-1987	Wickson	524	287	
	4,683,250	07-28-1987	Mikami	522	33	
	4,789,381	12-06-1988	Oshiyama <i>et al.</i>	8	115.6	
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	5,021,490	06-04-1991	Vyvoda <i>et al.</i>	524	140	
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EXAMINER:

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Serial No.

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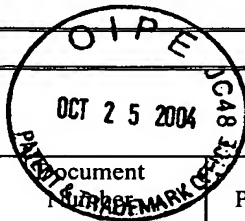
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Art Unit

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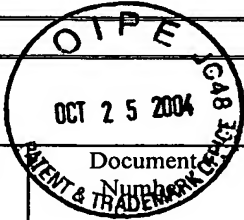
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	5,169,716	12-08-1992	Croft et al.	428	379	
	5,290,886	03-01-1994	Ellul	524	515	
	5,298,539	03-29-1994	Singh et al.	524	92	
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	5,605,955	02-25-1997	Hirai	524	588	
	5,792,805	08-11-1998	Williams	524	100	
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	6,369,264	04-09-2002	Day et al.	560	83	06-15-2001
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	2003/0220426	11-27-2003	Wentworth et al.	524	284	05-10-2002
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	March 29, 2004	1714

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Examiner Initials	Document Number	Issue or Publication Date	Name	Class	Subclass	Filing Date (If Appropriate)
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	EP 0 704 491	04-03-1996	European Patent Office		
	1 022 306 A1	07-26-2000	European Patent Office		
	EP 1 304 210	04-23-2003	European Patent Office	abstract only	
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	1 045 577	10-12-1966	United Kingdom		

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	International (PCT) Search Report for PCT/US2004/009463 dated August 30, 2004

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